

## Form for Additional KYC and Foreign Tax Laws Information

### INVESTOR DETAILS

Investor Name \_\_\_\_\_ PAN 

--	--	--	--	--	--	--	--	--	--

Folio / Application No. \_\_\_\_\_

Sole/ First Applicant     Second Applicant     Third Applicant     Guardian     POA Holder

\*The below information is required for all applicant(s), guardian(s). Please tick above box as applicable and submit a separate form(s) for each category

### DETAILS UNDER FATCA / FOREIGN TAX LAWS

Country of birth \_\_\_\_\_

Are you a resident in any country other than India for tax purposes.     Yes     No

If yes, please indicate all countries in which you are resident for tax purposes and the associated Foreign Tax Identification Number below.

Country of Tax Residency	Tax Identification Number

**For Non Individual Investor, Please tick the relevant box below, even if Country of Tax Residency is India #**

Form W8 BEN-E / Specified declaration (Enclosed)

Unable to Provide [IDFC Mutual Fund will contact you in due course to confirm your FATCA Status]

\*Where no box is ticked, the second statement will be taken as the default implying that the applicant/investor currently is unable to confirm FATCA status and will confirm the same in future.

### ADDITIONAL KYC INFORMATION

**Gross Annual Income (Rs.)** [Please tick(✓)]     Below 1 Lacs     1 Lacs - 5 Lacs     5 Lacs - 10 Lacs     10 Lacs - 25 Lacs     25 Lacs - 1 Crore  
 1 Crore - 5 Crore     5 Crore - 10 Crore     above 10 Crore

OR

**Net-worth** (Mandatory for Non-Individuals) Rs. \_\_\_\_\_ as on 

--	--	--	--	--	--	--	--	--	--

 (Not older than 1 year)

**Occupation** (please tick any one and give brief details):     Private Sector Service     Public Sector Service     Government Service     Business     Professional  
 Agriculturist     Retired     Housewife     Student     Others \_\_\_\_\_ Please specify

In case of business / profession, indicate the details (including nature of goods/ services dealt in) \_\_\_\_\_

**Politically Exposed Person (PEP) Status** (Also applicable for authorised signatories/Promoters/Karta/Trustee /Whole time Directors)

I am PEP     I am a relative / associate of PEP     None of these

**Non-Individual Investors involved/ providing any of the mentioned services**

Foreign Exchange / Money Changer Services     Gaming/Gambling/Lottery/Casino Services     Money Lending / Pawning     None of these

### DECLARATION

I declare that the information is to the best of my knowledge and belief, accurate and complete.

I agree to notify IDFC Mutual Fund/ IDFC Asset Management Co. Ltd. immediately in the event the information in the self-certification changes.

Name of Applicant / Guardian / POA	Signature	Date						
		<table border="1" style="display: inline-table; border-collapse: collapse;"><tr><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td><td style="width: 20px; height: 20px;"></td></tr></table>						

### INSTRUCTIONS

- Politically Exposed Persons (PEP)** are defined as Individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior government/judicial/military officers, senior executives of state-owned corporations, important political party officials, etc.
- Country of Tax Residence and Tax ID number:** Tax Regulations require us to collect information about each investor's tax residency. In certain circumstances (including if we do not receive a valid self-certification from you) we may be obliged to share information on your account with relevant tax authorities. If you have any questions about your tax residency, please contact your tax advisor. Should any information provided change in the future, please ensure you advise us of the changes promptly. **If you are a US citizen or resident, please include United States in this related field along with your US Tax Identification Number.**