

FATCA Declaration and Additional KYC Form for Non-Individual Investors

(One time declaration to be provided by Existing investors)

2. APPLICANT DETAILS

NAME PAN / PEKRN

3. KYC DETAILS

NET-WORTH in ₹ _____ (Net worth should not be older than 1 year) as on (Date)

Politically Exposed Person (PEP) Status (Also applicable for authorised signatories / Promoters / Karta / Trustee / Whole time Directors)

I am PEP I am a relative / associate of PEP None of these

Non-Individual Investors involved / providing any of the mentioned services

Foreign Exchange / Money Changer Services Gaming / Gambling / Lottery / Casino Services Money Lending / Pawning None of these

4. FOREIGN ACCOUNT TAX COMPLIANCE ACT (FATCA) DECLARATION

A. PARTICULARS

COUNTRY OF INCORPORATION

COUNTRY OF TAX RESIDENCE India U.S.A. Others _____ (please specify)

FOREIGN TAX ID NO

B. OTHER INFORMATION

- Are you a financial institution (including a Foreign Financial Institution (FFI))? Yes No
If you have ticked "Yes" then please provide your Global Intermediary Identification Number (GIIN) _____
If you do not have a GIIN then please specify the status as either of the 3 given below
a. Applied for b. Not required to apply for c. Not obtained
- Are you a Non-financial foreign entity (NFFE). Yes No
If you have ticked "Yes" then please fill Part 1 given below

Part 1 (Please tick wherever applicable)	
1	Are you a listed company (that is, a company whose shares are regularly traded on a recognized stock exchange)?
	<input type="checkbox"/> Yes <input type="checkbox"/> No Name of the stock exchange _____ (Please specify any one stock exchange upon which the stock is regularly traded)
2	Are you a related entity of a listed company?
	<input type="checkbox"/> Yes <input type="checkbox"/> No Name of the company, the stock of which is regularly traded _____ Name of the stock exchange _____ (Please specify any one stock exchange upon which the stock is regularly traded)
3	Are you an active NFFE?
	<input type="checkbox"/> Yes <input type="checkbox"/> No Yes, Nature of business _____: No _____ (If Yes, stop at Q3, if No, answer Q4)
4	Are you a passive NFFE?
	<input type="checkbox"/> Yes <input type="checkbox"/> No Yes, Nature of business _____:

Part 2 (In case the space provided is insufficient, please provide the information by attaching separate declaration forms)				
Name (Controlling person)	Country of tax residency	Country of tax citizenship	Address	Tax identification number (or functional equivalent) for each country identified in relation to each investor

5. DECLARATION

I/We acknowledge and confirm that the information provided above is/are true and correct to the best of my/our knowledge and belief. In the event any of the above information is/are found to be false/incorrect and/or the declaration is not provided, then the AMC/Trustee/Mutual Fund shall reserve the right to reject the application and/or reverse the allotment of units and the AMC/Mutual Fund/Trustee shall not be liable for the same. I/We hereby authorize sharing of the information furnished in this form with all SEBI Registered Intermediaries and they can rely on the same. In case the above information is not provided, it will be presumed that applicant is the ultimate beneficial owner, with no declaration to submit. I/We also undertake to keep you informed in writing about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required at your end.

<input type="radio"/> First / Sole Applicant / Guardian	<input type="radio"/> Second Applicant	<input type="radio"/> Third Applicant
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INSTRUCTIONS