

# SYSTEMATIC TRANSFER PLAN (STP)



Date

Distributor ARN	Sub-Distributor ARN	Sol ID / Internal Sub-Broker	Employee Code	EUIIN	Serial No., Date & Time Stamp

Upfront commission shall be paid directly by the investor to the AMFI registered distributor based on the investor's assessment of various factors including the service rendered by the distributor.

"I/We hereby confirm that the EUIIN box has been intentionally left blank by me/us as this transaction is executed without any interaction or advice by the employee/relationship manager/sales person of the above distributor/sub broker or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales person of the distributor/sub broker."

First / Sole Applicant / Guardian	Second Applicant	Third Applicant	Power of Attorney Holder
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EXISTING FOLIO NUMBER  OR APPLICATION NO.

PAN (mandatory)  Enclosed  PAN Proof  KYC Acknowledgment letter

**SYSTEMATIC TRANSFER PLAN (STP)** (To be submitted at least 7 working days before the 1st due date for transfer).

**From Scheme#**  **Plan**

**Option (tick ✓)**  Growth  Dividend Reinvestment  Dividend Payout  Bonus **Dividend Frequency**

**Plan**  Existing  Direct  Retail

**To Scheme**  **Plan**

**Option (tick ✓)**  Growth  Dividend Reinvestment  Dividend Payout  Bonus **Dividend Frequency**

**Plan**  Existing  Direct

(Please tick ✓ any one) Ref. Instruction 5

Systematic Transfer Plan (STP) (Ref. Instruction 5)	Capital Appreciation Systematic Transfer Plan (CapSTP) (Ref Instruction 6)
Transfer Frequency (Please tick (✓) any one of the below frequencies) <input type="checkbox"/> Daily <input type="checkbox"/> Weekly* (Monday To Friday) Day of transfer <input type="text"/> <input type="checkbox"/> Fortnightly (Every Alternate Wednesday) <input type="checkbox"/> Monthly \$ <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 25th <input type="checkbox"/> Quarterly \$ <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 25th	Transfer Frequency (Please tick (✓) any one of the below frequencies) <input type="checkbox"/> Weekly (Monday To Friday) * Day of transfer <input type="text"/> <input type="checkbox"/> Monthly \$ (Please tick (✓) any one) <input type="checkbox"/> Quarterly \$ <input type="checkbox"/> 1st <input type="checkbox"/> 7th <input type="checkbox"/> 10th <input type="checkbox"/> 15th <input type="checkbox"/> 25th
Transfer Instalment ₹ <input type="text"/> Total Transfer ₹ <input type="text"/>	
No. of Instalments <input type="text"/> OR Transfer Period From <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> (First Instalment) (Last Instalment)	

\*Ref. Instruction 11. \$ Ref Instruction 12.

#Please refer to the Scheme Information Documents of respective schemes for STP availability. STP out available and registered under Axis Long Term Fund, only For the Units which are Free of 3 years lock-in.

Having read and understood the contents of the Scheme Information Document of the Scheme(s), I / we hereby apply for units of the Scheme(s) and agree to abide by the terms, conditions, rules and regulations governing the Scheme(s). I / We hereby declare that the amount invested in the Scheme(s) is through legitimate sources only and does not involve and is not designed for the purpose of the contravention of any Act, Rules, Regulations, Notifications or Directions of the provisions of the Income Tax Act, Anti Money Laundering Laws, Anti Corruption Laws or any other applicable laws enacted by the Government of India from time to time. I / We have understood the details of the Scheme(s) & I / we have not received nor have been induced by any rebate or gifts, directly or indirectly in making this investment. I / We confirm that the funds invested in the Scheme(s), legally belong to me / us. In the event "Know Your Customer" process is not completed by me / us to the satisfaction of the Mutual Fund, I / we hereby authorise the Mutual Fund, to redeem the funds invested in the Scheme(s), in favour of the applicant, at the applicable NAV prevailing on the date of such redemption and undertake such other action with such funds that may be required by the Law.

The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

For NRIs only: I / We confirm that I am / we are Non Residents of Indian nationality / origin and that I / we have remitted funds from abroad through approved banking channels or from funds in my / our Non-Resident External / Non-Resident Ordinary / FCNR account.

I / We confirm that details provided by me / us are true and correct.

First / Sole Applicant / Guardian	Second Applicant	Third Applicant	POA Holder
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# STP Enrolment Form - Instruction

- The STP Enrolment Form should be completed in English and in Block Letters only. Please tick in the appropriate box, where boxes have been provided. The STP Enrolment Form complete in all respects, should be submitted at any of the Official Points of Acceptance of Axis Mutual Fund.
- One STP Enrolment Form can be filled for one Scheme/Plan/Option only.
- Investors are advised to read the Key Information Memorandum(s) (KIMs) and Scheme Information Document(s) (SIDs) of the Transferee Scheme(s) and Statement of Additional Information (SAI) carefully before investing. The SIDs / KIMs of the respective Scheme(s) and SAI are available with the ISCs of Axis Mutual Fund, brokers/distributors and also displayed at the Axis Mutual Fund website i.e. www.axismf.com
- Unit holders should note that unit holders' details and mode of holding (single, joint, anyone or survivor) in the Transferee Scheme will be as per the existing folio number of the Transferor Scheme, Units will be allotted under the same folio number. Unit holders' names should match with the details in the existing folio number, failing which; the application is liable to be rejected.
- STP offers unit holders the following two Plans:
  - Systematic Transfer Plan (STP)
  - Capital Appreciation Systematic Transfer Plan (CapSTP)

Investor's can opt for any of the above facility.

Systematic Transfer Plan (STP) offers transfer facility at Daily, Weekly, Fortnightly, Monthly and Quarterly intervals.

Capital Appreciation STP (CapSTP) offers transfer facility at Weekly, Monthly and Quarterly intervals. If no frequency is chosen, Monthly frequency shall be treated as the Default Frequency.

- Under the **CapSTP-Weekly** Interval, unit holders will be eligible to transfer the entire capital appreciation amount (minimum Rs. 500) by way of capital appreciation from Monday to Friday.

**Monthly Interval**, unit holders will be eligible to transfer the entire capital appreciation amount (minimum Rs. 500) by way of capital appreciation on the 1st, 7th, 10th, 15th or 25th of each month.

Under the **CapSTP-Quarterly Interval**, unit holders will be eligible to transfer the entire capital appreciation amount (minimum ₹ 1,000) by way of capital appreciation on the 1st, 7th, 10th, 15th or 25th of the first month of each quarter. The beginning of the quarter could be of any month e.g. January, March, July, September, etc. Please note that no transfers will take place if there is no minimum capital appreciation amount (except for last transfer leading to closure of account). The capital appreciation, if any, will be calculated from the enrolment date of the CapSTP under the folio, till the first transfer date. Subsequent capital appreciation, if any, will be the capital appreciation between the previous CapSTP date (where CapSTP has been processed and paid) and the next CapSTP date.

- The provision of 'Minimum Redemption Amount' as specified in the Scheme Information Document(s) of the respective designated Transferor Schemes and 'Minimum Application Amount' specified in the Scheme Information Document(s) of the respective designated Transferee Schemes will not be applicable for STP.
- Minimum amount as per the table given below and thereafter in multiple of ₹ 1 except Axis Long Term Equity Fund Min. Amount ₹ 500 for all frequency and thereafter in multiples of ₹ 500/-

**The minimum number of instalments and amount under STP and Cap STP is as follows:**

STP Frequency	Cycle Date	STP		CapSTP	
		Minimum Amt	Minimum Instalment	Minimum Amt	Minimum Instalment
Daily	Monday To Friday	1000	6	NA	NA
Weekly	Monday To Friday	1000	6	500	6
Fortnightly	Alternate Wednesday	1000	6	NA	NA
Monthly	1st, 7th, 10th, 15th or 25th	1000	6	500	6
Quarterly	1st, 7th, 10th, 15th or 25th	3000	2	1000	2

- List of transferor and transferee schemes:

Sr no.	Schemes Name	STP Out (Transferor Scheme)	STP In (Transferee Scheme)
1	Axis Liquid Fund	✓	✓
2	Axis Treasury Advantage Fund	✓	✓
3	Axis Short Term Fund	✓	✓
4	Axis Income Saver Fund	✓	✓
5	Axis Equity Fund	✓	✓
6	Axis Long Term Equity Fund*	✓	✓
7	Axis Triple Advantage Fund	✓	✓
8	Axis Mid Cap Fund	✓	✓
9	Axis Dynamic Bond Fund	✓	✓
10	Axis Gold Fund	✓	✓
11	Axis Constant Maturity 10 Year Fund	✓	✓
12	Axis Income Fund	✓	✓
13	Axis Banking Debt Fund	✓	✓
14	Axis Focused 25 Fund	✓	✓
15	Axis Fixed Income Opportunities Fund	✓	✓
16	Axis Enhanced Arbitrage Fund	✓	✓

\*STP Out allowed from Axis Long Term Equity Fund for those investments which have completed the lock-in period of 3 years.

- Unit holders are required to fill in either the number of instalments or the enrolment period in the enrolment Form, failing which the Form is liable to be rejected.
- In case Day of Transfer has not been indicated under STP- Weekly frequency, Wednesday shall be treated as Default day.
- In case, the Enrolment Period has been filled, but the STP Date and/or Frequency (Monthly/Quarterly) has not been indicated, Monthly frequency shall be treated as Default frequency and 10th shall be treated as Default Date.
- The application for STP/ CapSTP enrolment - Monthly & Quarterly Interval should be submitted at least 7 working days and not more than 90 days before the desired commencement date.
- In respect of STP, the Load Structure prevalent at the time of enrolment shall govern the investors during the tenure of the STP.
- A minimum period of 7 working days shall be required for registration under STP. Units will be allotted/redeemed at the applicable NAV of the respective dates of the Scheme on which such investments/withdrawals are sought from the Scheme.
- The AMC reserves the right to introduce STPs at any other frequencies or on any other dates as the AMC may feel appropriate from time to time. In the event that such a day is a Holiday, the transfer would be affected on the next Business Day.
- The requests for discontinuation of STP/CapSTP shall be subject to an advance notice of 15 days before the next due date for STP.
- STP will terminate automatically if all Units are liquidated or withdrawn from the account or upon the Funds' receipt of notification of death or incapacity of the Unit holder. Further, in case where the balance amount in a folio is less than the STP/CapSTP amount, the entire amount will be transferred to the transferee scheme.
- If STP date/day is a non-Business Day, then the next Business Day shall be the STP Date / Day and the same will be considered for the purpose of determining the applicability of NAV.
- The Trustee reserves the right to change/modify the terms and conditions of the STP. For the updated terms and conditions of STP, contact the nearest ISC or visit our website www.axismf.com